



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : <b>G06F 9/455, 13/00</b>	<b>A1</b>	(11) International Publication Number: <b>WO 97/37303</b>
		(43) International Publication Date: 9 October 1997 (09.10.97)

(21) International Application Number: PCT/US97/05196

(22) International Filing Date: 28 March 1997 (28.03.97)

(30) Priority Data:  
08/625,109 1 April 1996 (01.04.96) US(71) Applicant: OPENCONNECT SYSTEMS INCORPORATED  
[US/US]; 2711 LBJ Freeway, Dallas, TX 75234 (US).

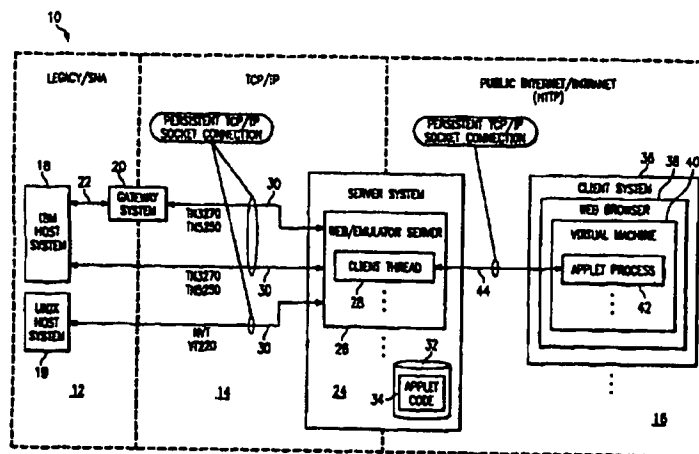
(72) Inventors: BUTTS, Thomas, H.; Route 3, Box 50G, Wills Point, TX 75169 (US). BURRIS, Stuart, H., Jr.; 4629 Bayberry Lane, Garland, TX 75043 (US). CLARK, Stephen, J.; 9809 Canyon Crest Circle, Irving, TX 75063 (US). ARMSTRONG, Eric, P.; 201 East Round Grove Road #253, Lewisville, TX 75067 (US). KUHN, Daniel, B.; 933 Carver Circle, Lewisville, TX 75067 (US). RATLIFF, Stanley, M.; 801 Ryan Road, Denton, TX 76205 (US). SHARIF, Mohammad, K.; 1627 San Francisco, Carrollton, TX 75007 (US). TOYE, Gene, E.; 1601 San Francisco, Carrollton, TX 75007 (US).

(74) Agent: MILLS, Jerry, W.; Baker &amp; Botts, L.L.P., 2001 Ross Avenue, Dallas, TX 75201-2980 (US).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

**Published***With international search report.**Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.*

(54) Title: WEB/EMULATOR SERVER AND WEB BROWSER TERMINAL EMULATOR FOR PERSISTENT CONNECTION TO A LEGACY HOST SYSTEM OPERATION



## (57) Abstract

A computer network environment (10) allowing connection of a client system (36) to a legacy host system (18, 19) using a web/emulator server (26) is provided. The computer network environment (10) includes a legacy host system (18, 19) having TCP/IP connectivity. The legacy host system (18, 19) is operable to support a terminal session for access to the legacy host system (18, 19). The computer network environment (10) also includes a server system (24) executing a client thread (28) under a web/emulator server (26). The client thread (28) is operable to communicate with the legacy host system (18, 19) across a persistent TCP/IP socket connection (30). The computer network environment (10) further includes a client system (36) executing an applet process (42) under a web browser (38). The applet process (42) is operable to communicate with the client thread (28) across another persistent TCP/IP socket connection (44) and is operable to provide a terminal session to a user of the client system (36). This terminal session is supported by a persistent connection allowing real-time bidirectional communication with the legacy host system (18, 19).

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

WEB/EMULATOR SERVER AND WEB BROWSER TERMINAL EMULATOR FOR PERSISTENT  
CONNECTION TO A LEGACY HOST SYSTEM OPERATION

TECHNICAL FIELD OF THE INVENTION

This invention relates in general to the field of electronic systems, and more particularly to a web/emulator server and a web browser terminal emulator  
5 for persistent connection to a legacy host system and a method of operation.

BACKGROUND OF THE INVENTION

Many organizations operate computer network  
10 environments that include legacy host systems which store data and provide applications important to the operation of the organization. Such legacy host systems can include IBM mainframes (MVS, VM and VSE environments), IBM AS/400 systems and UNIX host systems.

15 It is desirable for such organizations to provide connection to the legacy host systems through terminal sessions on distributed client systems such as personal computers and computer workstations. This connection to the legacy host system provides access for users of the  
20 client systems to the data and applications on the legacy host system. These terminal sessions can include 3270, 5250, NVT and VT220 type terminal sessions.

One conventional method for providing terminal  
25 sessions is to execute a terminal emulator application on the client systems that connects directly to a host legacy system using a TCP/IP socket connection. Another

conventional method is to provide connection through a web browser application by translating standard legacy data flows into HTML pages. However, such conventional web browser methods suffer from an inability to handle  
5 real-time host updates to user screens as well as other significant problems. For example, forms-based HTML/TN3270 packages are unable to overcome a range of problems associated with common HTML implementations such as real-time host updates to user screens or finding a  
10 user's browser platform address on the network.

#### SUMMARY OF THE INVENTION

In accordance with the present invention, a web/emulator server and a web browser terminal emulator  
15 for persistent connection to a legacy host system and a method of operation are provided that substantially eliminate or reduce disadvantages and problems associated with previously developed methods.

According to one aspect of the present invention, a  
20 computer network environment allowing connection of a client system to a legacy host system using a web/emulator server is provided. The computer network environment includes a legacy host system having TCP/IP connectivity. The legacy host system is operable to  
25 support a terminal session for access to the legacy host system. The computer network environment also includes a server system executing a client thread under a web/emulator server. The client thread is operable to communicate with the legacy host system across a  
30 persistent TCP/IP socket connection. The computer network environment further includes a client system executing an applet process under a web browser. The applet process is operable to communicate with the client thread across another persistent TCP/IP socket connection  
35 and is operable to provide a terminal session to a user

of the client system. This terminal session is supported by a persistent connection allowing real-time bidirectional communication with the legacy host system.

According to another aspect of the present invention, a web/emulator server for providing a persistent connection between a client system and a legacy host system is provided. The web/emulator server includes a client thread operable to communicate with a legacy host system across a persistent TCP/IP socket connection. The web/emulator server also includes applet code operable to create an applet process executing under a web browser on a client system. When executed, the applet process is operable to communicate with the client thread across another persistent TCP/IP socket connection and to provide a terminal session to a user of the client system. The web/server emulator is operable to download the applet code to a client system in response to receiving a uniform resource locator associated with the legacy host system.

According to a further aspect of the present invention, a method is provided for connecting a client system to a legacy host system using a web/emulator server. A uniform resource locator associated with a legacy host system is selected from a web browser executing on a client system. The uniform resource locator is received by a web/emulator server executing on a server system. Executable code for an applet process is then downloaded to the client system, and the applet process is executed under the web browser on the client system. The applet process is connected to a client thread executing under the web/emulator server via a persistent TCP/IP socket connection. The client thread is connected to a legacy host system associated with the uniform resource locator via another persistent TCP/IP socket connection. The client thread and the legacy host

system communicate across the first persistent TCP/IP socket connection, and the client thread and the applet process communicate across the second persistent TCP/IP socket connection. A terminal session is provided by the  
5 applet process to a user of the client system which allows real-time bidirectional communication with the legacy host system across a persistent connection to the legacy host system.

A technical advantage of the present invention is  
10 the ability to allow users to access legacy host system data, such as IBM mainframe data, from client systems, such as desk top personal computers and computer workstations, using a web browser where the access is provided through a persistent TCP/IP socket connection to  
15 the legacy host system. This ensures a persistent bidirectional connection to the legacy host system such that real-time host updates, security, encryption, help-desk support, and other real-time features can be supported. One embodiment of the present invention uses  
20 SUN MICROSYSTEMS' JAVA technology and includes JAVA-capable web browsers and embedded JAVA applet processes to provide terminal session connectivity to the distributed client systems.

Another technical advantage of the present invention  
25 is the provision of connectivity to a legacy host system through web browsers without the need for specialized emulation software to be manually loaded on each client computer system and without the need for user programming.

A further technical advantage of the present  
30 invention is allowing the expansion of access to existing data and applications on legacy host systems from existing client systems using existing network capabilities. The present invention provides a network  
35 environment that allows the use of a web browser

environment, having web browser tools, such as JAVA tools, and web-serving, to incorporate Internet-type technologies, through the Internet or an intranet, with existing network architectures.

5

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIGURE 1 is a block diagram of a computer network environment that allows connection of a client system to a legacy host system using a web/emulator server according to the teachings of the present invention;

10

FIGURE 2 is a flow chart of a method for connecting a client system to a legacy host system using a web/emulator server according to the teachings of the present invention; and

15

FIGURE 3 is a block diagram of load-balancing across multiple web/emulator servers according to the teachings of the present invention.

#### DETAILED DESCRIPTION OF THE INVENTION

FIGURE 1 is a block diagram of a computer network environment, indicated generally at 10. Network environment 10 includes a legacy/SNA environment 12, a TCP/IP environment 14 and a public Internet/intranet environment 16.

20

Legacy/SNA environment 12 includes one or more legacy host systems such as an IBM host system 18 and a UNIX host system 19. IBM host system 18 can comprise an IBM mainframe (MVS, VM and VSE environment) or an IBM AS/400 system. UNIX host system 19 can comprise a computer workstation or server running a UNIX-type environment.

25

A gateway system 20 can be coupled to IBM host system 18 via a connection 22. Connection 22 can comprise an SNA or channel attachment to IBM host system 18. Gateway system 20 provides an interface between

30

35

legacy/SNA environment 12 and TCP/IP environment 14. Gateway system 20 can be integral with IBM host system 18 or can be a separate, add-on system extending the functionality of IBM host system 18. For example,  
5 gateway system 20 can comprise an OC SERVER II™ gateway product available from OPENCONNECT SYSTEMS. UNIX host systems 19 and some IBM host systems 18 have built in TCP/IP connectivity such that the use of a gateway system 20 is unnecessary.

10 TCP/IP environment 14 includes a server system 24. Server system 24 executes one or more web/emulator servers 26. Web/emulation server 26 provides an interface between TCP/IP environment 14 and public Internet/intranet environment 16 and is operable to  
15 execute one or more client threads 28. Web/emulator server 26 is operable to connect to gateway system 20, to IBM host system 18 and to UNIX host system 19 via a persistent TCP/IP socket connection 30. Server system 24 includes a data storage device 32 that stores applet code  
20 34. Applet code 34 comprises executable code for an applet process. Web/emulation server 26 can comprise an OC://WEBCONNECT™ server available from OPENCONNECT SYSTEMS, and applet code 34 can comprise a JAVA applet for use within SUN MICROSYSTEM's JAVA environment.

25 Public Internet/intranet environment 16 includes one or more client systems 36. Client system 36 can comprise a desk top computer such as a personal computer or computer workstation. Client system 36 is operable to execute a web browser 38. Web browser 38 is operable to  
30 establish a virtual machine 40 for executing an applet process 42. Applet process 42 comprises an instance of applet code 34 downloaded to client system 36 by web/emulator server 26. Applet process 42 is connected to client thread 28 via a persistent TCP/IP socket  
35 connection 44. Web browser 38 can comprise a

commercially available web browser application such as NETSCAPE NAVIGATOR that is JAVA-capable and applet process 42 can comprise a JAVA applet.

5 In operation, web/emulator server 26, client thread 28 and applet process 42 form a web browser terminal emulator providing a persistent bidirectional connection between client system 36 and a legacy host system such as IBM host system 18 or UNIX host system 19. Applet process 42 communicates with client thread 28 across  
10 persistent TCP/IP socket connection 44. Client thread 28 communicates with an appropriate legacy host system, either IBM host system 18 or UNIX host system 19, across a persistent TCP/IP socket connection 30. Client thread 28 and applet process 42 allow a user of client system 36  
15 to use web browser 38 to invoke a terminal session for accessing data and applications on a legacy host system. Each web/emulation server 26 is operable to manage a plurality of client threads 28 associated with a plurality of applet processes 42. In one embodiment of  
20 the present invention, each web/emulation server 26 is operable to manage a thousand such connections.

Client thread 28 executes under web/emulator server 26 and is operable to communicate with a legacy host system across a persistent TCP/IP socket connection 30.  
25 Client thread 28 is operable to interface between TCP/IP environment 14 and public Internet/intranet environment 16. Applet process 42 executes under web browser 38 and is operable to communicate with client thread 28 across persistent TCP/IP socket connection 44. In one  
30 embodiment of the present invention, client thread 28 and applet process 42 using a web/emulator data flow. Applet process 42 is further operable to provide a terminal session to a user of the client system 36. The terminal session can comprise a 3270, 5250, NVT or VT220 type  
35 terminal session. This terminal session provided by

applet process 42 and client thread 28 is supported by a persistent TCP/IP socket connection which allows real-time bidirectional communication with the legacy host system.

5           In one embodiment of the present invention, the applet process uses three threads to implement the terminal session. The applet process uses a READ thread, a WRITE thread and an EMULATION thread. The READ thread provides a buffer interface to persistent TCP/IP socket  
10 connection 44 and reads information from the socket. The WRITE thread provides a buffer interface to persistent TCP/IP socket connection 44 and writes to the socket. The EMULATION thread provides the user interface representing the terminal session on the legacy host  
15 system. In this embodiment, the applet process and the client thread communicate using a web/emulator data flow based upon the communication protocol that is set forth in APPENDIX A.

          According to the present invention, a user of client  
20 system 36 can access data and applications on the legacy host system using web browser 38 where the access is provided through a persistent bidirectional connection to the legacy host system. Persistent TCP/IP socket connections 30 and 44 provide this persistent  
25 bidirectional connection to IBM host system 18 or UNIX host system 19 such that real-time host updates, security, encryption, help-desk support, and other real-time features are supported. One embodiment of the present invention uses SUN MICROSYSTEMS' JAVA technology  
30 and includes JAVA-capable web browsers 38 and embedded JAVA applet processes 42 to provide terminal session connectivity to client systems 36.

          The present invention provides connectivity to legacy host systems such as IBM host system 18 and UNIX  
35 host system 19 through web browser 38 without the need

for specialized emulation software to be manually loaded on each client system 36 and without the need for user programming. Web/emulator server 26 downloads applet code 34 to client system 36 when the uniform resource locator (URL) of the legacy host system is selected by the user. This is accomplished automatically without requiring service personnel to load software on client system 36.

The present invention also allows the expansion of access to existing data and applications on legacy host systems from existing client systems 36 using existing network capabilities. The present invention provides a network environment 10 that allows the use of a public Internet/intranet environment 16 having web browser tools, such as JAVA tools, and web-serving to incorporate Internet-type technologies, through the Internet or an intranet, with existing network architectures. Thus, an organization can implement web browser 38 based access to legacy host systems, such as IBM host systems 18 and UNIX host systems 19, without scrapping or significantly restructuring existing network resources.

FIGURE 2 is a flow chart of a method for connecting a client system to a legacy host system using a web/emulator server according to the teachings of the present invention.

In step 50, a uniform resource locator (URL) associated with a legacy host system is selected from a web browser executing on a client system. The web browser can comprise a JAVA-capable NETSCAPE NAVIGATOR web browser as mentioned above. The selected uniform resource locator is received by a web/emulator server in step 52. In step 54, the web/emulator server downloads executable code for an applet process to the client system for connecting to the legacy host system. In step 56, the client system executes the applet process under

the web browser. The applet process can comprise a JAVA applet for execution within a JAVA virtual machine within the NETSCAPE NAVIGATOR web browser.

5 In step 57, the applet process connects to a client thread executed by the web/emulator server, and the client thread connects to the legacy host system across persistent TCP/IP socket connections. In step 58, the client thread then communicates with the legacy host system across the persistent TCP/IP socket connection.

10 This communication allows the client thread to establish and maintain a terminal connection to the legacy host system. In step 60, the client thread communicates with the applet process across a persistent TCP/IP socket connection. This communication can be accomplished using

15 a web/emulator data flow such as that based upon the communication protocol set forth in APPENDIX A.

In step 62, the applet process provides a terminal session to a user of the client system. According to the present invention, this terminal session allows real-time

20 bidirectional communication with the legacy host system across a persistent TCP/IP socket connection to the legacy host system. This terminal session, as mentioned above, can comprise a 3270, 5250, NVT or VT220 type terminal session.

25 The terminal session can be terminated by the user of the client system as desired. The applet process and client thread are terminated, in step 64, when the terminal session is terminated. In one embodiment, after termination, the applet process is removed from execution memory and is no longer present on the client system. In

30 another embodiment, the executable code for the applet can be stored on a data storage device associated with the client system. In this embodiment, the web/emulation server can check the client system to determine whether

35 new executable code for the applet needs to be downloaded

based upon the version present, if any, on the data storage device of the client system.

5 The present invention provides an interface to legacy data flows, such as telnet (TN) data flows, across persistent TCP/IP socket connections to give users persistent bidirectional access to legacy host system data in terminal sessions, such as 3270, 5250, NVT and VT220 type terminal sessions. According to the present invention, terminal emulation is partially provided by  
10 applet executable code downloaded from the web/emulation server. The user can select the uniform resource locator (URL) of the legacy host system via a web browser package, such as NETSCAPE's NETSCAPE NAVIGATOR, and transparently receive the applet code which is executed  
15 and invokes an appropriate terminal session.

The present invention provides users of the client system access to real-time legacy host system data and applications using a web browser. The web/emulator server system converts standard legacy data flows into  
20 web/emulator data flows and vice versa permitting multi-session, multi-protocol access to legacy data and applications. The applet process converts the web/emulator data flows into a terminal session for display to the user. As mentioned above, the web browser  
25 can comprise a JAVA-capable web browser, the applet process can be a JAVA applet, and the web/emulator data flow can be based upon the protocol set forth in Appendix A. This embodiment of the present invention can blend web browsers enhanced by SUN MICROSYSTEMS' JAVA with  
30 legacy host systems having TCP/IP connectivity to allow users on any client system platform to connect to and access legacy host systems via 3270, 5250, NVT and VT220 type terminal emulation.

35 FIGURE 3 is a block diagram of load-balancing across multiple web/emulator servers according to the teachings

of the present invention. An HTTP server 70 is coupled to a client system 72. HTTP server 70 receives request information, REQUEST, from client system 72 in the form of a uniform resource locator (URL) and provides  
5 redirection information, REDIRECT, to client system 72. Client system 72 is then coupled to one of a plurality of web/emulation servers 74 according to the redirection information. In this manner HTTP server 70 can redirect  
10 access by a plurality of client systems 72 to manage the load on web/emulation servers 74 to ensure a balance across all available web/emulation servers 74.

This load-balancing can remain transparent to a user of client system 72. The user enters the uniform resource locator associated with the legacy host system.  
15 That information is transmitted to HTTP server 70 as a request. HTTP server 70 interprets the request, identifies the selected legacy host system, and selects a web/emulation server 74 to manage the connection. This selection of a web/emulation server 74 can be based upon  
20 information of the current loading of each web/emulation server 74. HTTP server 70 transparently provides redirect information to client system 72 which identifies the uniform resource locator of the selected web/emulation server 74. Client system 72 then initiates  
25 the above described terminal session through the selected web/emulation server 74 and the selected web/emulation server 74 connects to the legacy host system as described above.

The present invention provides web browser  
30 connectivity with relatively low initial and recurring cost. The need for individual emulation software products based upon each particular client system is removed. This eliminates many technical support, help desk and software distribution problems, including  
35 version level control and configuration management

issues. Extensive user training is no longer necessary because web browsers generally offer intuitive common user interfaces and are known by many users.

5 Implementation time is improved by taking advantage of an existing widely-installed web browser base.

Specifically, the platform independence provided by the JAVA architecture allows the web browser terminal emulator of the present invention to avoid problems with operation differences between computer environments. In  
10 addition, numerous users can be supported concurrently by each web/emulation server. For example, the OC://WEBCONNECT™ product from OPENCONNECT SYSTEMS can support a thousand users per instance.

The present invention provides connectivity from any  
15 client system, such as a personal computer or computer workstation, to a legacy host system, such as a mainframe or mid-range system, without costly redesign or rebuilding of legacy applications. The benefits of a distributed client/server type data exchange can be  
20 realized without requiring systems redesign. This approach means that the many advantages of Internet-type access and functionality can be blended into an organization's network architecture simply and efficiently, thus protecting existing information  
25 technology infrastructures and investments.

Although the present invention has been described in detail, it should be understood that various changes, substitutions and alterations can be made hereto without departing from the spirit and scope of the invention as  
30 defined by the appended claims.

## APPENDIX A

The following provides one implementation of a communication protocol for web/emulation data flow between a client thread and an applet process. (For all values with a length, length = 0 indicates the field is not present. All fields are byte values.)

Client (applet process) to Server (client thread)Connect Session

```

10      / S / emutype / hostlen / hostname ... / lunamelen /
      luname ... / portnolen / portno ... / sessionlen
      sessionname...
      emutype: Emulation type to create 01 = 3270, 02
      = 5250, 04 @ VT220 hostlen, hostname: length
15      and content of gateway hostname to connect with
      lunamelen, luname: length and content of luname
      name for session
      portnolen, portno: length and content of port
      number (as ASCII text) for gateway sessionlen,
20      sessionname: length and content of session name

```

Field Update

```

      / F / reason / reasonparm / curposhigh / curposlow /
      fieldcounthigh / fieldcountlow / bufposhigh /
      bufposlo lengthy / lengthlow / fieldchars
25      reason: Reason for field update. 0 1 =
      Unsolicited, 02 = reply to Query Field Update
      from server.
      reasonparm: If reason = 01, AID value from
      client. If reason = 02, reasonparm from
30      Query Field Update command from server.
      curposhigh, curposlow: Cursor Position at time
      of update
      fieldcounthigh, fieldcountlow: count of fields
      bufposhigh, bufposlow: Buffer position of field
35      lengthy, lengthlow: Length of field data (16
      bits)
      fieldchars: field data in ASCII
      row, column, length, fieldchars ... are
      repeated fieldcount times.

```

40 NVT Keystroke

```

      / N / asciikey
      asciikey: value of keystroke as an ASCII
      character

```

Run Script

```

45      / R / scriptnamelen / scriptname...
      scriptnamelen, scriptname: length and name of
      TCL script to execute

```

Query Help Desk

```

    / H /
Process Emulation Keystroke
    / K / keyvaluehigh I keyvaluelow
        keyvaluehigh I keyvaluelow: Emulation
5         key value to process

Server (client thread) to Client (applet process)
Erase Display
    / E /
10 Set Display Size
    / S / rows / columns
        rows: Number of rows for display
        columns: Number of columns for display
Set Cursor Position
15    / C / bufposhigh, bufposlow
        bufposhigh, bufposlow: Position for cursor
Display Text
    / D / bufposhigh I bufposlow I lengthhigh I
        lengthlow I text...
20        bufposhigh, bufposlow: Position for text
        (Ignored when in NVT mode) lengthhigh,
        lengthlow: Len-th of text (16 bits)
        text: ASCII text for display
OIA    Text
25    / O / column / length / oiatext ...
Field  List
    / F / fieldcounthigh, fieldcountlow / bufposhigh /
        bufposlow / fieldattr / xattr / lengthhigh /
        lengthlow fieldcounthigh, fieldcountlow: Number of
30        fields (0 = unformatted screen) bufposhigh,
        bufposlow: Position for start of field fieldattr:
        Field attribute byte
        3270      definition
        bits      use
35        0      Modified Data Tag (I @ field
        modified)
        0      Reserved (0)
        2 - 3    00 = Display/non-detectable, 01 =
40                Display/detectable, 10=Intensified
                Display/detectable, 11
                =Nondisplay/non-detectable
        4      0= Alphanumeric, 1 = Numeric
        5      0 = Unprotected, 1 = Protected
        xattr: Field extended attribute byte 3270 Definition
45        3270 Definition
        bits      use
        7      field mark
        6      unused
        5      transparent/opaque
50        4-3    highlighting (00=default, 01
                =blinking, 10=reverse, 11=underline)

```

2-0 color(00 1=blue, 010= red, 011=pink,  
100=green, 101=turquoise,110=yellow,  
111=white)

lengthhigh, lengthlow: length of field (16  
bits, may wrap off initial row)

bufposhigh, btifposlow, fieldattr, lengthhigh,  
lengthlow are repeated fieldcounthigh,  
fieldcountlow times.

Character Override Attribute List

/A / attrcounthigh / attrcountlow / bufposhigh /  
bufposlow / lengthhigh / lengthlow / attrbytel  
attrcounthigh, attrcountlow: Count of attributes  
bufposhigh, btifposlow: Position of attribute  
lengthhigh, lengthlow: length of field (16  
bits, may wrap off initial row)

attrbytel: Attribute byte 1

3270 Definition  
bits use

0 - 2 color bits (1 = Blue, 2 = Red, 3  
= Pink, 4 = Green, 5 =  
Turquoise, 6 = Yellow, 7 =White)

3 - 4 Extended highlighting (mutually  
exclusive)(01= Blinking, 10 =  
Reverse, 11 = Underline)

7 Field mark (1= Start of field  
position)

bufposhigh, bufposlow,, lengthhigh, lengthlow,  
attrbytel are repeated attrcounthigh,  
attrcountlow times.

Query Field Update

/ Q / reasonparm  
reasonparm: Reason value returned in Field  
Update message from client

Set NVT Mode

/ N / nvtmode  
nvtmode: 00 = Emulation mode, 0 1 = NVT mode

Keyboard Lock State

/ K / mode  
mode: 00 = KB Free, 01 = KB Locked

Sound Alarm

/ B / length / filenameetext ...  
length - Length of filename  
filenameetext: Name of audio file for alarm

Error Message

/ M / length / messagetext...  
length, messagetext: Length and content of  
error message

Protocol Version

/ V / verhigh / verlow  
verhigh, verlow: Protocol version number

Help Desk Reply

```

/ H /
length / versionstr: Server version
sessionIDhigh / sessionIDlow: server session ID
for this client connection
5 emulationtype: 01 = 3270, 02 = 5250
  (if emulation type is 3270)
    displaytype: 00 = 3278, 01 = 3279, 02 =
    3179, 03 = 3472
    status: 00 = Unowned, 01 = SSCP, 02 = LULU
10 defaultPartition.- default model size 2 -
    5
    alternatepartition: alternate model size 2
    - 5
    currentpartition: current model size 2 - 5
15 (if emulation type is 5250)
    displaytype: 00 = 3477, 01 = 3179, 02 =
    5151, 03 = 3196
    screensize: screen size, 2 or 5
    ocserver: 01= connected to OC server, 00 =
20 other type of server
    ptsoverride: 01 = passthru screen
    override, 00 = no override
    monochrome: 00= color, 01 = monochrome
    protocoltype: 0 1 = RUI, 02 = TN
25 (if protocol type is RUI)
    length / requestedLUname: configured LU name
    length / grantedLUname: LU name returned by
    server
    length / ruiversion: Version of RUI support
30 in use
    sessIDhigh I sessIDlow: RUI session ID
    (if protocol type is T-N)
    length, termTypeString: terminal type
    string negotiated with TN server is
35 TN3270EI: 00 = not 3270E, 01 = using 3270E
    length, devicename: device name in use
    length, puLuName: PU/LU name for session
    (OCS gateways only)
    transporttype. 00= None (valid if protocol is
40 RUI), 01 = Network (if transport type is
    Network)
    length, configuredHostName: host name in
    session configuration
    length, configuredport: port in session
45 configuration
    length, connectedHostName: host name (ip
    address) in actual use
    length, connectedport: port in actual use
50 Update Complete
    / W /

```

WHAT IS CLAIMED IS:

1. A web/emulator server for providing a persistent connection between a client system and a legacy host system, comprising:

5 a client thread operable to communicate with a legacy host system across a first persistent TCP/IP socket connection; and

applet code operable to create an applet process executing under a web browser on a client system, the  
10 applet process operable to communicate with the client thread across a second persistent TCP/IP socket connection and to provide a terminal session to a user of the client system;

the web/server emulator operable to download the  
15 applet code to a client system in response to receiving a uniform resource locator associated with the legacy host system.

2. The web/emulator server of Claim 1, wherein the  
20 client thread and the applet process are operable to communicate using a web/emulator data flow.

3. The web/emulator server of Claim 2, wherein the  
25 client thread is further operable to interface between a legacy data flow used by the legacy system and the web/emulator data flow used by the applet process.

4. The web/emulator server of Claim 3, wherein the  
30 applet code comprises executable code for a JAVA applet to be executed under a JAVA-capable web browser.

5. The web/emulator server of Claim 4, wherein the  
web/emulator server is implemented by an OC://WEBCONNECT  
server.

6. The web/emulator server of Claim 1, wherein the client thread is operable to communicate using a TN3270 data flow and the applet process is operable to provide a 3270 type terminal session.

5

7. The web/emulator server of Claim 1, wherein the client thread is operable to communicate using a TN5250 data flow and the applet process is operable to provide a 5250 type terminal session.

10

8. The web/emulator server of Claim 1, wherein the client thread is operable to communicate using an NVT data flow and the applet process is operable to provide an NVT type terminal session.

15

9. The web/emulator server of Claim 1, wherein the client thread is operable to communicate using a VT220 data flow and the applet process is operable to provide a VT220 type terminal session.

10. A web browser terminal emulator for connecting a client system to a legacy host system, comprising:

5 a client thread executing under a web/emulator server on a server system, the client thread operable to communicate with a legacy host system across a first persistent TCP/IP socket connection; and

10 an applet process executing under a web browser on a client system, the applet process operable to communicate with the client thread across a second persistent TCP/IP socket connection, and the applet process further operable to provide a terminal session to a user of the client system;

15 such that the terminal session is supported by a persistent connection allowing real-time bidirectional communication with the legacy host system.

11. The web browser terminal emulator of Claim 10, wherein the client thread and the applet process are operable to communicate using a web/emulator data flow.

20 12. The web browser terminal emulator of Claim 11, wherein the client thread is further operable to interface between a legacy data flow used by the legacy system and the web/emulator data flow used by the applet process.

25 13. The web browser terminal emulator of Claim 12, wherein the applet process comprises a JAVA applet executing under a NETSCAPE NAVIGATOR web browser.

30 14. The web browser terminal emulator of Claim 13, wherein the web/emulator server comprises an OC://WEBCONNECT server.

15. A computer network environment allowing connection of a client system to a legacy host system using a web/emulator server, the computer network environment comprising:

5 a legacy host system having TCP/IP connectivity, the legacy host system operable to support a terminal session for access to the legacy host system;

10 a server system executing a client thread under a web/emulator server, the client thread operable to communicate with the legacy host system across a first persistent TCP/IP socket connection; and

15 a client system executing an applet process under a web browser, the applet process operable to communicate with the client thread across a second persistent TCP/IP socket connection and operable to provide a terminal session to a user of the client system;

20 such that the terminal session is supported by a persistent connection allowing real-time bidirectional communication with the legacy host system.

16. The computer network environment of Claim 15, wherein the client thread and the applet process are operable to communicate using a web/emulator data flow.

25 17. The computer network environment of Claim 16, wherein the client thread is further operable to interface between a legacy data flow used by the legacy system and the web/emulator data flow used by the applet process.

30 18. The computer network environment of Claim 15, wherein the legacy host system comprises a mainframe computer system.

19. The computer network environment of Claim 15, wherein the legacy host system comprises a mid-range computer system.

5           20. The computer network environment of Claim 15, wherein the legacy host system comprises a computer workstation executing a UNIX environment.

10           21. The computer network environment of Claim 17, wherein the applet process comprises a JAVA applet executing under a NETSCAPE NAVIGATOR web browser.

15           22. The computer network environment of Claim 21, wherein the web/emulator server comprises an OC://WEBCONNECT server.

20           23. The computer network environment of Claim 15, wherein the client thread is operable to communicate using a TN3270 data flow and the applet process is operable to provide a 3270 type terminal session.

25           24. The computer network environment of Claim 15, wherein the client thread is operable to communicate using a TN5250 data flow and the applet process is operable to provide a 5250 type terminal session.

30           25. The computer network environment of Claim 15, wherein the client thread is operable to communicate using an NVT data flow and the applet process is operable to provide an NVT type terminal session.

35           26. The computer network environment of Claim 15, wherein the client thread is operable to communicate using a VT220 data flow and the applet process is operable to provide a VT220 type terminal session.

27. A method for connecting a client system to a legacy host system using a web/emulator server, comprising:

5       selecting a uniform resource locator associated with a legacy host system from a web browser executing on a client system;

          receiving the uniform resource locator by a web/emulator server executing on a server system;

10       downloading executable code for an applet process to the client system;

          executing the applet process under the web browser on the client system;

          connecting the applet process to a client thread executing under the web/emulator server via a first  
15       persistent TCP/IP socket connection;

          connecting the client thread to a legacy host system associated with the uniform resource locator via a second persistent TCP/IP socket connection;

20       communicating between the client thread and the legacy host system across the first persistent TCP/IP socket connection;

          communicating between the client thread and the applet process across the second persistent TCP/IP socket connection; and

25       providing a terminal session to a user of the client system by the applet process, the terminal session allowing real-time bidirectional communication with the legacy host system across a persistent connection to the legacy host system.

30

28. The method of Claim 27, wherein communicating between the client thread and the legacy host system is accomplished using a legacy data flow.

29. The method of Claim 28, wherein communicating between the client thread and the applet process is accomplished using a web/emulator data flow.

5           30. The method of Claim 29, wherein executing the applet process comprises executing a JAVA applet under a NETSCAPE NAVIGATOR web browser.

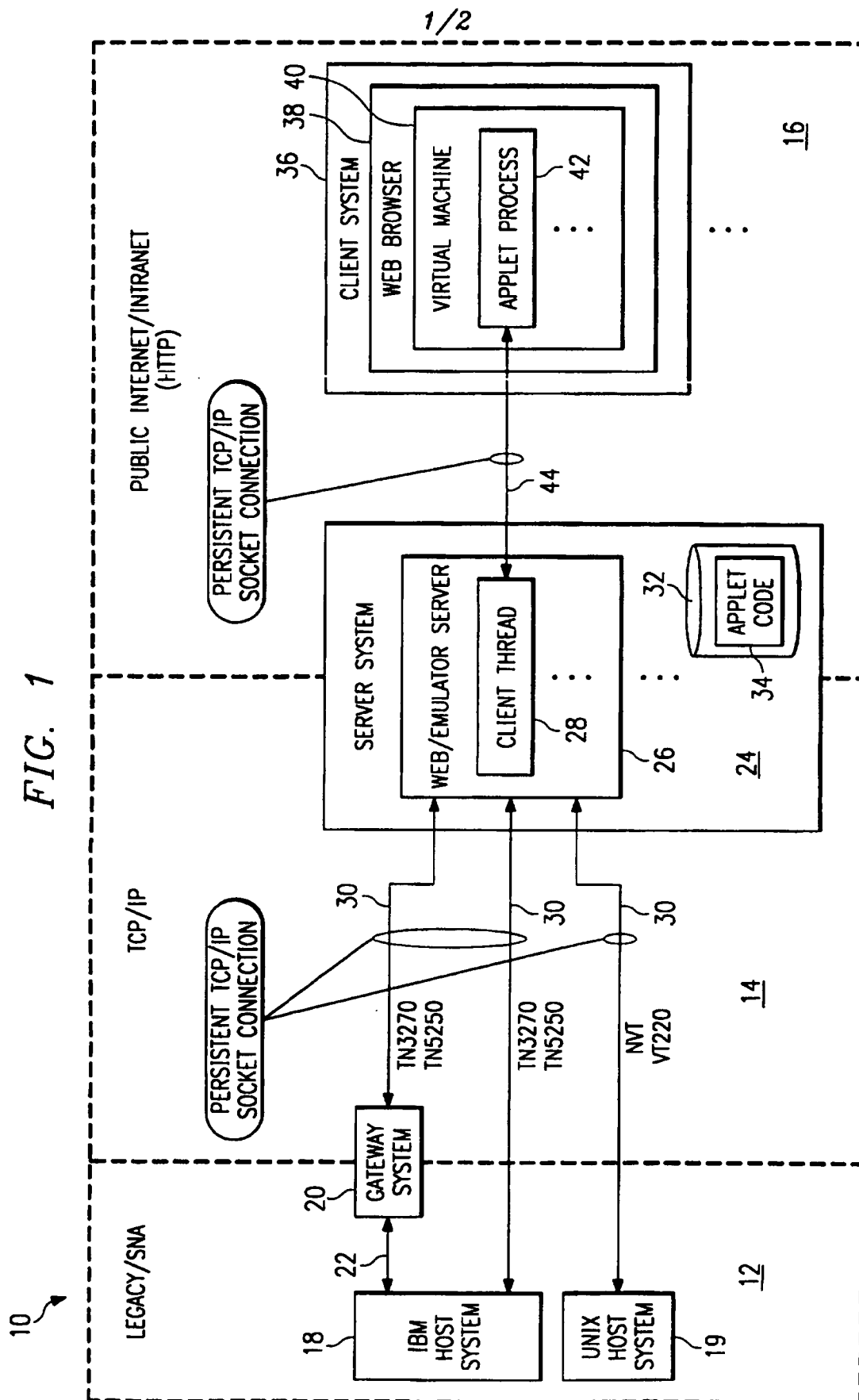
10           31. The method of Claim 27, wherein providing a terminal session comprises providing a 3270 type terminal session.

15           32. The method of Claim 27, wherein providing a terminal session comprises providing a 5250 type terminal session.

20           33. The method of Claim 27, wherein providing a terminal session comprises providing an NVT type terminal session.

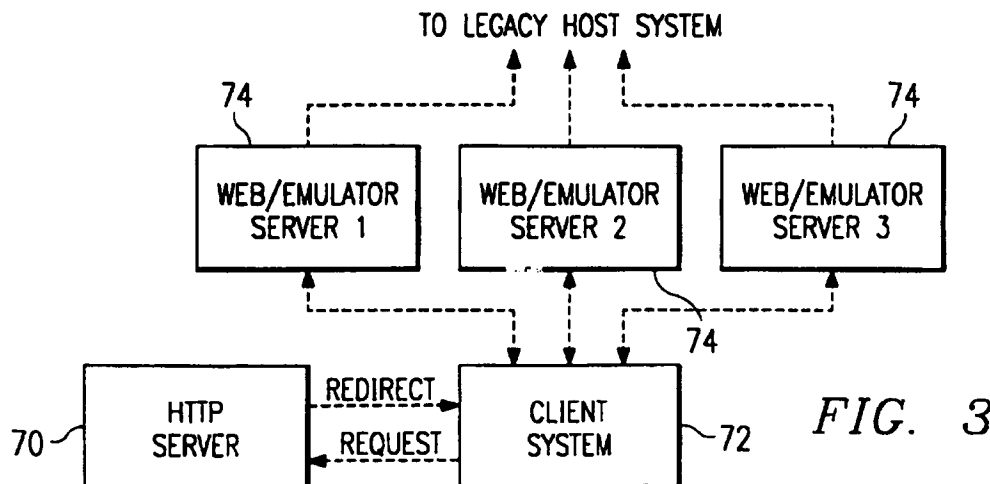
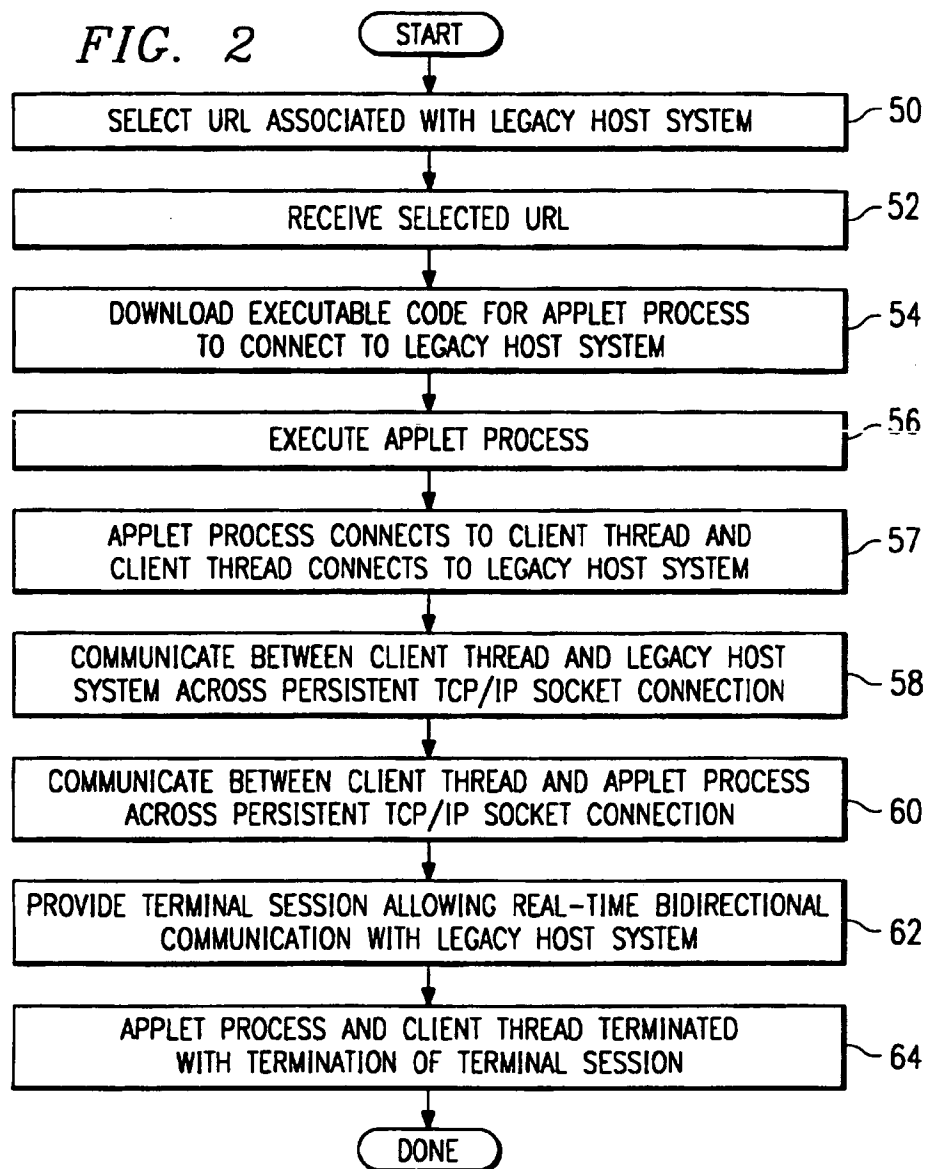
          34. The method of Claim 27, wherein providing a terminal session comprises providing a VT220 type terminal session.

**FIG. 1**



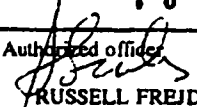
2/2

FIG. 2



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/05196

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(6) : G06F 9/455, 13/00 US CL : 395/500, 680, 285, 200.01; 370/466, 469; 364/232.3, 927.81 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) U.S. : Please See Extra Sheet. Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) APS; IEEE Search terms: network, host, client (thread), emulator, applet, persistent, legacy		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,485,369 A (NICHOLLS ET AL) 16 JANUARY 1996, COLUMNS 1-27	1-34
A	US 5,497,463 A (STEIN ET AL) 05 MARCH 1996, COLUMNS 1-152	1-34
A,P	US 5,568,612 A (BARRETT ET AL) 22 OCTOBER 1996, COLUMNS 1-56	1-34
A,P	US 5,600,833 A (SENN ET AL) 04 FEBRUARY 1997, COLUMNS 1-23	1-34
A,E	US 5,617,540 A (CIVANLAR ET AL) 01 APRIL 1997, COLUMNS 1-10	1-34
A,E	US 5,619,650 A (BACH ET AL) 08 APRIL 1997, COLUMNS 1-11	1-34
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to undermend the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family	
Date of the actual completion of the international search 01 JULY 1997		Date of mailing of the international search report 13 AUG 1997
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230		Authorized officer  RUSSELL FREJD Telephone No. (703) 305-4839

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/05196

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y,E	US 5,634,006 A (BAUGHER ET AL) 27 MAY 1997, COLUMNS 1-11	1-34
A,E	US 5,634,127 A (CLOUD ET AL) 27 MAY 1997, COLUMNS 1-21	1-34
Y,E	US 5,636,371 A (YU) 03 JUNE 1997, COLUMNS 1-20	1-34
Y	DOMEL, P. Mobile Telescript Agents and the Web. COMPCON '96 IEEE Computer Society International. 1996, pages 52-57.	1-34
Y	DEAN et al. Java Security: From HotJava to Netscape and Beyond. Security and Privacy 1996 IEEE Symposium. February 1996, pages 190-200.	1-34
Y	VUONG et al. Mobile Intelligent Agent Systems: WAVE vs. JAVA. Emerging Technologies and Applications in Communication 1996 IEEE Conference. March 1996, pages 196-199.	1-34
Y,P	HAMILTON, M. Java and the Shift to Net-Centric Computing. IEEE Computer Magazine. August 1996, pages 31-39.	1-34
Y,P	YOURDON, E. Java, the Web, and Software Development. IEEE Computer Magazine. August 1996, pages 25-30.	1-34
A	NEWELL et al. Interoperable Object Models for Large Scale Distributed Systems. IEE Colloq. No. 184: Client/Server Computing Seminar Proceedings. 1995, pages 14/1-14/6.	1-34

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/05196

## B. FIELDS SEARCHED

Minimum documentation searched

Classification System: U.S.

395/500, 680, 601, 285, 200.01, 200.02, 200. 3, 200.06, 200.11, 200.14, 200.21; 370/466, 469, 455; 364/280, 280.6, 283.1, 284.2, 232.3, 927.81

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Bruce A. MacNaughton et al.      Art Unit : 2173  
Serial No. : 10/079,228      Examiner : Raymond J. Bayerl  
Filed : February 20, 2002  
Title : SYSTEM FOR INTEGRATING AN ONLINE INFORMATION COMMUNITY  
WITH A FOREIGN SERVICE

**MAIL STOP AF**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313 1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (*i*) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated April 21, 2005, which is not more than three months prior to the filing of this statement, and (*ii*) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Applicant : Bruce A. MacNaughton et al.  
Serial No. : 10/079,228  
Filed : February 20, 2002  
Page : 2 of 2

Attorney's Docket No.: 06975-659001 / 1365-204H

Respectfully submitted,

Date: \_\_\_\_\_

\_\_\_\_\_  
Joseph F. Key  
Reg. No. 44,827

Fish & Richardson P.C.  
1425 K Street, N.W.  
11th Floor  
Washington, DC 20005-3500  
Telephone: (202) 783-5070  
Facsimile: (202) 783-2331

40291663.doc